

2643

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/683,099
Filing Date	11/16/2001
First Named Inventor	Shih-Hsornng Shen
Group Art Unit	2643
Examiner Name	Ni, Suhan
Attorney Docket Number	YMBP0001USA

Total Number of Pages in This Submission

25

**ENCLOSURES (check all that apply)**

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☒ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☒ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

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Remarks

Response to the office action has been sent to the examiner by fax on 8/14/2003

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual name

Winston Hsu

Signature

*Winston Hsu*

Date

8/14/2003

**CERTIFICATE OF MAILING**

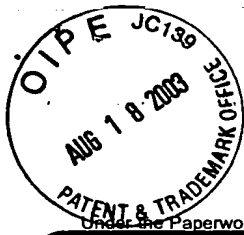
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: \_\_\_\_\_

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (01-03)  
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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

## Complete if Known

Application Number 09/683,099  
Filing Date 11/16/2001  
First Named Inventor Shih-Hsornng Shen  
Examiner Name Ni, Suhan  
Art Unit 2643  
Attorney Docket No. YMBP0001USA

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## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 50-0801  
Deposit Account Name North America International Patent Office

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ ) 0.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims  Extra Claims  Fee from below  Fee Paid   
Independent Claims  -20\*\* =  X   
Multiple Dependent Claims  -3\*\* =  X

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0.00

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type) Winston Hsu Registration No. 41,526 Telephone 886289237350  
Signature *Winston Hsu* (Attorney/Agent) Date 8/16/2003

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



**NORTH AMERICA**  
**INTERNATIONAL PATENT OFFICE**

5F, No. 389, Fu-Ho Rd., Yungho City, Taipei Hsien, Taiwan, R.O.C.  
TEL:+886-2-8923-7350 FAX:+886-2-2929-5950 e-mail:winstonhsu@naipo.com.tw

**FAX TO : Ni, Suhan**

**ART UNIT: 2643**

Tel : (703) 308-9322

Fax: (703) 872-9314

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AUG 19 2003

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**FROM : Winston Hsu, PATENT AGENT, REG. NO. : 41,526**

**SUBJECT: SERIAL NO. :**

**RESPONSE TO OFFICE ACTION MAILED ON 05/16/2003**

**TOTAL PAGES : 27 PAGES (INCLUDING COVER PAGE)**

**The hardcopy of this response will be sent to USPTO by Federal Express  
right away.**

**Winston Hsu 2003/8/14**



## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the  
United States Patent and Trademark Office

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Date

Dana Pai

Signature

Dana Pai

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify  
each submitted paper.

APPLICATION NUMBER: 09/683,099

PAPERS INCLUDED:

(1) Transmittal Form	1 PAGE
(2) Fee Transmittal	1 PAGE
(3) Response to the Office Action	23 PAGES

HP LaserJet 3330

Winston Hsu 41526  
886289237390  
14-Aug-2003 17:53



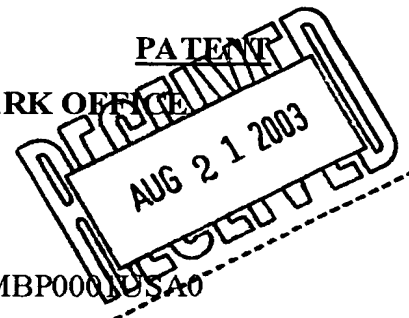
### Fax Call Report

Job	Date	Time	Type	Identification	Duration	Pages	Result
497	14/ 8/2003	17:45:23	Send	00217038729314	5:35	27	OK



#9 2643

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Shye-Lin Wu

Examiner:

Filing Date: 2001/11/16

Art Unit:

App. No.: 09/683,099

Docket No.: YMBP000,US40

Title: HEARING AID DEVICE WITH FREQUENCY-SPECIFIC  
AMPLIFIER SETTINGS

To: Assistant Commissioner for Patents  
P.O.BOX 1450  
Alexandria, VA 22313-1450

Subject: Request for withdrawal as agent

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AUG 20 2003  
Technology Center 2600

Dear Sir or Madame:

I hereby apply to withdraw as agent for the above identified patent/patent application. The reason for this request is that I am leaving my current office of employment to attend law school.

Although I am requesting to withdraw from the above patent/patent application, Winston Hsu, agent registration No. 41,526, will continue to serve as the primary agent of record for this case. **This request for withdraw does not affect the status of Mr. Hsu as the principle agent of record for the above-identified case.** Consequently, the correspondence address is NOT affected by this withdrawal. Furthermore, as Mr. Hsu will continue acting as the agent of record, my withdrawing from this case will not affect the client's ability to obtain timely representation. Mr. Hsu, as my employer, has agreed to my withdrawal and will continue handling all aspects of this case. I therefore believe in good faith that the USPTO should find this request for withdrawal acceptable.

Sincerely yours,

Date: 7/18/2003

Mord Michael Lewis, Patent Agent No.50,478